

## CONFLICT METALS AND SUPPLY CHAIN POLICY

The Perth Mint recognises and takes very seriously the risk and impact of handling precious metals mined within conflict affected and high risk countries. The profits this generates may be directly or indirectly financing or benefitting armed conflict and the extreme levels of violence which contribute to abuses of human rights. In particular, The Perth Mint is aware that gold mined in these countries has the potential to make its way into the refining operations of The Perth Mint Refinery, Western Australia.

The Perth Mint is committed to ensuring that its refining operations remain Conflict Metal Free and that we continue to meet all legal obligations and socially responsible expectations. As such, our supply chain due diligence systems and procedures are compliant with the standards of the LBMA Responsible Gold Guidance and the OECD Due Diligence for Responsible Supply Chains of Minerals from Conflict and High Risk Areas.

This will be achieved by:

- Ensuring adequate staffing resources are provided to ensure compliance with the policy and procedures.
- All transactions are monitored for compliance with the policy and appropriate records are kept to an auditable standard to ensure compliance is demonstrated.
- Adequately training applicable Perth Mint staff on the supply chain due diligence management system to ensure effective application of this policy.
- Ensuring the policy will be accessible and communicated to all Perth Mint Refinery staff, customers and potential customers.
- Actively encouraging all Perth Mint staff and contractors to report any suspicious dealings or transactions to management and/or the Compliance Officer.

In particular we are committed to:

1. Not receiving or processing any gold products from conflict affected or high risk areas.
2. Not tolerating nor by any means profiting from, contributing to, assisting with or facilitating the commissioning by any party of:
  - Any forms of torture, cruel, inhuman and degrading treatment.
  - Any forms of forced, compulsory labour and /or the worst forms of child labour.
  - Human rights violations and abuses.
3. Not tolerating any direct or indirect support for non-state armed groups who:
  - Illegally control mine sites or otherwise control transportation routes or any other elements of the supply chain.
  - Illegally tax or extort intermediaries, export companies or international traders.
4. Immediately cease trading or dealing with any of our upstream suppliers that we identify as having a reasonable risk of sourcing from, or linked to, any party providing direct or indirect support to non-state armed groups as described above.
5. Communicating our Conflict Metals and Supply Chain Policy to our supplying counter parties and encourage them to commit to their own supply chain policy consistent with Annex II of the OECD Due Diligence for Responsible Supply Chains of Minerals from Conflict and High Risk Areas.
6. Not offering, promising, giving or demanding any bribes from any individual, government and private organisations.
7. Applying KYC/AML/CTF procedures that are compliant with the Australian Anti Money Laundering and Counter Terrorism Financing Act 2006.



**Richard Hayes**  
Chief Executive Officer  
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