



PMDP APPLICATION FORM

PLEASE COMPLETE NEATLY IN BLOCK LETTERS – THANK YOU

* This application form is used to open a Depository Program (offline) account only. Should you wish to open a Depository Online account, please refer to our website: www.perthmint.com/storage/depositary-online.html

September 2020

SECTION ONE – ACCOUNT DETAILS

ACCOUNT NAME

RESIDENTIAL / BUSINESS ADDRESS (REQUIRED)

CITY / TOWN _____ POST CODE _____

STATE _____ COUNTRY _____

POSTAL ADDRESS (If different from address listed above)

CITY / TOWN _____ POST CODE _____

STATE _____ COUNTRY _____

TELEPHONE NUMBER (including country code) _____

FAX NUMBER (including country code) _____

EMAIL ADDRESS (More than one email address can be provided. At least one of the email addresses must belong to the account holder.)

SECTION TWO - ACCOUNT ADMINISTRATION

ACCOUNT PASSWORD _____. It is compulsory to nominate a password for your Perth Mint Depository Account. The password should be no more than 12 characters in length, and easy for you to remember. If you forget your password, you will be unable to access your account until new password details have been received by our office via post. Please ensure your password is secure, as it is used to verify your identity.

ACCOUNT STATEMENTS

Do you wish to receive a yearly account statement? Yes No

If Yes, for which financial year? 1 July to 30 June 1 January to 31 December

PREFERRED METHOD OF CORRESPONDENCE (Please select one)

POST EMAIL FAX

(Preferred method of correspondence refers to Trade Confirmations, General Correspondence, Storage Invoices and yearly Account Statements.

ABN / ACN _____

If the account is in the name of an Australian Business, Company or Super Fund, please provide the applicable ABN or ACN.

DEFAULT CURRENCY

As The Perth Mint Depository only transacts in AUD and USD a default currency for your account will need to be set. If you reside in Australia or New Zealand, the default currency for your account is AUD. Residents of all other countries have a default currency of USD. If you would prefer to change your default currency, please select below. Storage fees will also be charged in the default currency of your account. Please note, transaction fees (and fabrication fees if applicable) will be charged in the currency used at the time of the transaction.

AUD

USD

SECTION THREE – BANK DETAILS

BANK NAME _____

BANK ADDRESS _____

BANK ACCOUNT NAME _____

(The Bank account name listed here should match the account name listed in Section 1. Note, this is not the type of the bank account, but the name in which it was opened. Please provide the full bank account name as listed on your bank account statement)

BANK ACCOUNT NUMBER _____

BSB NUMBER (Australian Clients) _____

SWIFT CODE _____

FED ABA / ROUTING NUMBER (US clients only) _____

IBAN _____

CURRENCY OF THIS BANK ACCOUNT: AUD USD OTHER _____

ADDITIONAL INFORMATION

Please provide any additional information that may be required to transfer funds to and from your account. (E.G. Intermediary Bank)

IMPORTANT INFORMATION

The Perth Mint does not make third party payments. It is essential that all funds being sent **to** The Perth Mint originate from the bank account you have nominated above. Funds sent from any other bank account (for any reason) will be rejected and returned. As such, when we send funds **from** your Perth Mint account to you, it will only be sent to this nominated bank account, and no other. This policy protects you against unauthorised payments.

In some instances, a bank account name which includes additional names or initials, thus differing to the account name in Section 1, will be acceptable. However further identification will be required. Please contact our office for further information. (For example: Section 1 account name John Smith could use a bank account in the name of John and Mary Smith).

We do not accept cheques (including bank cheques). Funds must be transferred electronically. Please ensure that your bank account and your bank have electronic transfer capabilities. Penalties will apply to any cheque deposit.

Transferring funds can sometimes incur bank fees. The Perth Mint is not a bank and does not charge any fee to deposit funds in to our bank accounts. Please check with your bank to determine if any transfer fee will apply. If a bank fee does apply, please ensure that sufficient funds are transferred to cover the fee and the full amount of funds you wish to send. This will ensure that your Perth Mint account is not over drawn.

SECTION FOUR – OPERATING AUTHORITIES

An Operating Authority is the person or persons with complete access and authority to operate this account. In this section, please supply the names and signatures of the individuals that will have this authority. If you are opening the account in your personal name, you must also complete this section. For an Account in the name of any other type of Owner (e.g. trust, corporation, Self-Managed Super Fund), any authorised signatories should be listed in this section. Please make a copy of this form if you require more than two Operating Authorities.

For Accounts with more than one operating authority, please advise if the account should allow Individual or Joint signatory access.

Individual Signatory Access means that ANY Operating Authority has the ability to transact and make changes to the account.

Joint Signatory Access requires at least TWO Operating Authorities to be present (or provide written signed instruction) for any account transaction or account changes.

INDIVIDUAL

JOINT

OPERATING AUTHORITY ACKNOWLEDGEMENT

By providing the identification documentation (ID) to us, you confirm that you are authorised to do so, to enable us to verify your identity, and you consent to us disclosing personal information that you have provided to us to a third party for verification using their systems and services to obtain an assessment of whether that personal information matches information held by various government authorities and agencies for the purposes of compliance with Australian Anti-Money Laundering and Counter Terrorism Financing legislation. The third party may give us a report on that assessment and to do so may access and use personal information about you held by various government authorities and use third party systems and services and you consent to such access. Alternative means of verifying you are available on request. If we are unable to verify your identity using information provided by you we will provide you with a notice to this effect and give you the opportunity to verify your identity using an alternative method acceptable to us. We will keep full and proper records of all disclosures, confirmations and consents connected with your personal information.

I have read and accepted and I acknowledge

OPERATING AUTHORITY 1

TITLE (Mr, Mrs, Ms etc.) _____

FIRST NAME _____

MIDDLE NAME _____

SURNAME / FAMILY NAME _____

DATE OF BIRTH (DD/MM/YYYY) _____

PLEASE SIGN AS PER YOUR SIGNATURE ON YOUR IDENTIFICATION DOCUMENT

I declare that by lodging this Application Form, I represent and acknowledge that I have read and understood the Terms and Conditions of the PERTH MINT DEPOSITORY SERVICES AGREEMENT and agree to be bound by those terms and conditions.

SIGNATURE _____ DATE _____

SECTION FOUR – OPERATING AUTHORITIES continued

OPERATING AUTHORITY ACKNOWLEDGEMENT

By providing the identification documentation (ID) to us, you confirm that you are authorised to do so, to enable us to verify your identity, and you consent to us disclosing personal information that you have provided to us to a third party for verification using their systems and services to obtain an assessment of whether that personal information matches information held by various government authorities and agencies for the purposes of compliance with Australian Anti-Money Laundering and Counter Terrorism Financing legislation. The third party may give us a report on that assessment and to do so may access and use personal information about you held by various government authorities and use third party systems and services and you consent to such access. Alternative means of verifying you are available on request. If we are unable to verify your identity using information provided by you we will provide you with a notice to this effect and give you the opportunity to verify your identity using an alternative method acceptable to us. We will keep full and proper records of all disclosures, confirmations and consents connected with your personal information.

I have read and accepted and I acknowledge

OPERATING AUTHORITY 2

TITLE (Mr, Mrs, Ms etc.) _____

FIRST NAME _____

MIDDLE NAME _____

SURNAME / FAMILY NAME _____

DATE OF BIRTH (DD/MM/YYYY) _____

PLEASE SIGN AS PER YOUR SIGNATURE ON YOUR IDENTIFICATION DOCUMENT

I declare that by lodging this Application Form, I represent and acknowledge that I have read and understood the Terms and Conditions of the PERTH MINT DEPOSITORY SERVICES AGREEMENT and agree to be bound by those terms and conditions.

SIGNATURE _____ DATE _____

SECTION FIVE – ADMINISTRATION ACCESS

ADMINISTRATION ACCESS

We allow administration only access for nominated staff or advisors to request account statements and balances. Administration access does not allow the nominated person to trade on the account, or to make changes to bank or contact information. If you would like to add an administrative contact, please provide their details below:

NAME _____

COMPANY _____

EMAIL ADDRESS _____

TELEPHONE NUMBER (Including country code) _____

SECTION SIX – ACCOUNT CHECKLIST**APPLICATION CHECKLIST**

1. Completed the Application form - all 5 Sections (where applicable).
2. Include copies of all required documentation or certified / notarised documentation.
To check if your documents need to be certified / notarised, please refer to the Required Documentation Guide available online at:

<https://www.perthmint.com/storage/depository-program.html>
3. Information regarding Financial Advisors can be found in the Required Documentation Guide.
4. By providing the identification documentation (ID) to us, you confirm that you are authorised to do so, to enable us to verify your identity, and you consent to us disclosing personal information that you have provided to us to a third party for verification using their systems and services to obtain an assessment of whether that personal information matches information held by various government authorities and agencies for the purposes of compliance with Australian Anti-Money Laundering and Counter Terrorism Financing legislation. The third party may give us a report on that assessment and to do so may access and use personal information about you held by various government authorities and use third party systems and services and you consent to such access. Alternative means of verifying you are available on request. If we are unable to verify your identity using information provided by you we will provide you with a notice to this effect and give you the opportunity to verify your identity using an alternative method acceptable to us. We will keep full and proper records of all disclosures, confirmations and consents connected with your personal information.
5. This form can be returned to our office by:

Email: pmds@perthmint.com or depository@perthmint.com Fax: +61 8 9221 7074

Post: The Perth Mint Depository
GPO Box M924
Perth WA 6843
Australia

Courier: The Perth Mint Depository
310 Hay Street
East Perth WA 6004
Australia

Please contact our office for further information or assistance: +61 8 9421 7250 Monday – Friday 8am - 5pm (AWST, GMT +8) or email pmds@perthmint.com depository@perthmint.com