

Due Diligence Form

SMSFs and Australian Regulated Trusts

Please complete neatly in BLOCK LETTERS

If your trust is not regulated by an Australian government department or is not based in Australia, please use the **Unregulated Australian & Foreign Trusts** form.

OFFICE USE ONLY

NEW CHANGE OF DETAILS

ACCOUNT NUMBER:

CHECKED BY:

SECTION ONE – SMSF / TRUST DETAILS

FULL NAME OF TRUST INCLUDING TRUSTEE (e.g. John Smith ATF Smith Self-Managed Super Fund)

BUSINESS / TRADING NAME (IF ANY)

COUNTRY WHERE TRUST WAS ESTABLISHED

INDUSTRY (main product or service linked to the trust, in most cases this will be 'Finance and investments')

RESIDENTIAL ADDRESS / PRINCIPAL PLACE OF BUSINESS

POSTAL / REGISTERED OFFICE ADDRESS (if different)

CITY / TOWN

STATE

CITY / TOWN

STATE

POSTCODE

COUNTRY

POSTCODE

COUNTRY

PHONE (including country code)

PRIMARY EMAIL ADDRESS

WEBSITE

TO YOUR KNOWLEDGE, IS ANY PERSON ASSOCIATED WITH THE TRUST A POLITICALLY EXPOSED PERSON?

YES

NO

If 'yes', provide details

SELECT THE TYPE OF REGULATED TRUST BELOW (Select one box only)

SELF-MANAGED SUPER FUND (SMSF)

Provide Australian Business Number (ABN)

GOVERNMENT SUPERANNUATION FUND

Provide name of legislation that establishes the fund

MANAGED INVESTMENT SCHEME REGISTERED WITH ASIC

Provide Australian Registered Scheme Number (ASRN)

MANAGED INVESTMENT SCHEME THAT IS NOT REGISTERED WITH ASIC AND ONLY HAS WHOLESALE CUSTOMERS

Provide Australian Business Number (ABN)

OTHER SUPERANNUATION FUND OR TRUST THAT IS REGULATED BY AN APPROVED AUSTRALIAN STATE, TERRITORY OR COMMONWEALTH REGULATOR (e.g. pooled superannuation trust or an approved deposit fund)

Provide regulator name (e.g. ATO, APRA)

Provide registration number



THE PERTH MINT
AUSTRALIA

SECTION TWO - TRUSTEES

ARE ANY OF THE TRUSTEES OF THE TRUST A COMPANY?

<input type="checkbox"/>	YES - COMPANY NAME		ACN	
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<input type="checkbox"/>	NO – ENTER DETAILS FOR AT LEAST ONE INDIVIDUAL TRUSTEE BELOW
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FULL NAME	DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN	STATE	POSTCODE	COUNTRY

SECTION THREE - DECLARATION

AS AUTHORISED REPRESENTATIVE OF THE TRUST, YOU

- confirm and warrant that all the information, including the identification material, provided in this form is true, valid and correct; and
- that The Perth Mint will rely on the information to review the customers application; and,
- warrant that you have the authority to consent to the disclosure of the personal information of any third party individual as required and provided in accordance with this form.
- confirm that you have reviewed and accept The Perth Mint's Terms and Conditions (available at <https://www.perthmint.com/terms-and-conditions/>)

Information provided to The Perth Mint for the purposes of this due diligence will be held as per The Perth Mint Privacy Policy. This information is being gathered to comply with a legal obligation placed upon us. For more information on the purposes of collection, please read our Know Your Customer (KYC) Collection Notice (our collection notices and The Perth Mint Privacy Policy are available at <https://perthmint.com/privacy-policy/>). If you have questions about our privacy policy or how we handle your information, please contact us at

privacyofficer@perthmint.com.

FULL NAME	DATE OF BIRTH

POSITION	PHONE (including area code)	PRIMARY EMAIL ADDRESS

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN	STATE	POSTCODE	COUNTRY

SIGNATURE	COMPANY STAMP (IF APPLICABLE)
DATE	

SECTION FOUR – IDENTIFICATION

Only after we are satisfied that proof of your identity has been established and the validation procedure has been completed will we be able to validate your account for bullion transactions.

When lodging this form, please provide the following documents:

- Full copy of the trust deed.
- For any listed individual trustees and the authorised representative of the trust:
 - a certified copy of a driver's licence, passport or national ID card showing the person's signature and photograph; and
 - if the photographic identification doesn't show their current residential address, an utility bill or government notice showing their current residential address.

All documentation provided must be current. If any documentation is in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

A certified copy means a document that has been certified as a true copy of the original document by an individual who is authorised to do so.

For Australian customers, certification/notarisation is acceptable by the following:

- Legal Practitioner (including lawyers, judges, magistrates, registrar of a court)
- Medical Practitioner
- Pharmacist
- Justice of the Peace
- Notary Public
- Commissioner of Declarations
- Commissioner of Affidavits
- Police Officer
- Member of a professional accounting body
- Australian Consular Official
- An authorised representative of a financial institution

For overseas customers, certification/notarisation is acceptable by the following:

- Commissioner for Oaths
- Notary Public
- Justice of the Peace